



**Insurance Intermediaries Professional Liability Schedule**

	<b>Policy Number</b>	IE00019887EO20A
<b>Item 1.</b>	<b>Policyholder</b>	Brian Murray t/a Brian Murray Financial Services
	<b>Address</b>	Unit W3A, Wicklow Enterprise Park    29 Burkeen Hall The Murrough                                Wicklow Co. Wicklow                                    Co. Wicklow
<b>Item 2.</b>	<b>Policy Period</b>	From: 24 March 2020 To: 23 March 2021 Both days inclusive
<b>Item 3.</b>	<b>Limit of Liability</b>	€1,500,000 Any One Claim in respect of Life, Pensions, Mortgage and Investment Work. Defense Costs and Expenses are inclusive  €1,500,000 Any One Claim with Defence Costs in addition in respect of General Insurance work
<b>Item 4.</b>	<b>Retention (each and every Claimant applicable to Defence Costs)</b>	€2,500 of each and every claimant for claims made against them in respect of Life, Pensions, Mortgage and Investment work
<b>Item 5.</b>	<b>Professional Services</b>	Insurance Intermediary
<b>Item 6.</b>	<b>Retroactive Date</b>	11 February 2005
<b>Item 7.</b>	<b>Premium</b> including Government Levy	€2,310.00
<b>Item 8.</b>	<b>Endorsements</b>	1. Unregulated Investment Exclusion 2. Sanctions Endorsement

**Stamp Duties Consolidated Act, 1999**

The appropriate Stamp Duty has been or will be paid by the *Insurer* to the Revenue Commissioners in accordance with the provisions of Section 5 of the Stamp Duties Consolidation Act, 1999, as amended.

In Witness whereof this Policy has been signed as follows:  
100% XL Insurance Company SE (Irish Branch)

Signed in Dublin on April 6, 2020



For and on behalf of XL Insurance Company SE



**ENDORSEMENT NO.1**  
**Unregulated Investment Exclusion**

This policy shall not cover Loss in connection with any **Claim** arising out of any advice or services relating to unregulated investments or pensions.

For the purpose of this endorsement an **Unregulated Investment** shall mean any investment or pension that is not authorized, regulated or approved by one of the following:

- a. The Central Bank of Ireland
- b. The Pensions Board
- c. Revenue Commissioners

**ENDORSEMENT NO.2**  
**Sanctions**

No Insurer shall be deemed to provide cover and no Insurer shall be liable to pay any CLAIM or provide any benefit hereunder to the extent that the provision of such cover, payment of such CLAIM or provision of such benefit would expose that Insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America.